



UNITED STATES PATENT AND TRADEMARK OFFICE

CP

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address: COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, Virginia 22313-1450
www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/622,981	07/18/2003	Christian May	P2001,0025	2995
24131	7590	09/05/2006	EXAMINER	
LERNER GREENBERG STEMER LLP			PAN, DANIEL H	
P O BOX 2480			ART UNIT	
HOLLYWOOD, FL 33022-2480			PAPER NUMBER	
			2183	

DATE MAILED: 09/05/2006

Please find below and/or attached an Office communication concerning this application or proceeding.

Office Action Summary	Application No. 10/622,981	Applicant(s) MAY ET AL.	
	Examiner Daniel Pan	Art Unit 2183	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --
Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☒ Responsive to communication(s) filed on 17 May 2006.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 1-21 is/are pending in the application.
 4a) Of the above claim(s) _____ is/are withdrawn from consideration.
- 5) ☐ Claim(s) _____ is/are allowed.
- 6) ☒ Claim(s) 1,2,7,8,12 and 13 is/are rejected.
- 7) ☒ Claim(s) 3-6,9-11 and 14-21 is/are objected to.
- 8) ☐ Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☒ The drawing(s) filed on 18 July 2003 is/are: a) ☒ accepted or b) ☐ objected to by the Examiner.
 Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
 Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. § 119

- 12) ☒ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
 a) ☒ All b) ☐ Some * c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
2. ☐ Certified copies of the priority documents have been received in Application No. _____.
3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).
- * See the attached detailed Office action for a list of the certified copies not received.

Attachment(s)

- | | |
|---|---|
| 1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892) | 4) <input type="checkbox"/> Interview Summary (PTO-413)
Paper No(s)/Mail Date. _____ |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948) | 5) <input type="checkbox"/> Notice of Informal Patent Application (PTO-152) |
| 3) <input checked="" type="checkbox"/> Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)
Paper No(s)/Mail Date <u>04/25/05, 07/18/03</u> | 6) <input type="checkbox"/> Other: _____ |

1. Claims 1-21 are presented for examination.
2. Claims 1,2, 12 are rejected under 35 U.S.C. 103(a) as being unpatentable over Chamber (6,047,365) in view of Scheuneman (4,757,440) in view of Fitch et al. (5,056,060) .
3. As to claims 1 , 12, Chambers taught a microprocessor circuit, comprising at least :
 - a) one- control unit (300) (312)(316) ;
 - b) one memory (see fig.1C (16) for overall structure, see 5g.3 (306J) for free programming with at least one program having functions (see DRAM as system memory, it is free for programming because it is a system memory) , the memory connected to the control unit (see fig.3);
 - c) a stack (306) for buffer-storing data (see memory wave table sample page of M-byte in col.5, lines 1-9) , the stack connected to the control unit-,
 - d) a register bank having register (308) , the register bank connected to said control unit; and
 - e) an auxiliary register storing a number of bits (see valid bit register) , each of the bits belong associated with one of said registers of the register bank (see register bank 308) and indicating whether a respective one of the registers contained valid bit (see col.5, lines 28-46), the auxiliary register valid bit register (310) connected to at least one of the control unit, the register bank (308) , and a stack (306) .

Art Unit: 2183

4. The stack (306) connected to at least the control unit, the register bank (308), the auxiliary register (see auxiliary register valid bit register (310) . Chamber's stack 306 was shown to be connected to at least the control unit, the register bank (308), the auxiliary register (see auxiliary register valid bit register (310) (see fig.3). Therefore, it had storage capabilities.

5. Chamber did not specifically show his stack was used as a stack buffer for storing data of the auxiliary register or the register bank as claimed. However , Scheuneman disclosed a system including a stack buffer which included a tag bit for read and write operations (see col.18, lines 45-68, col.19, lines 1- 51). It would have been obvious to one of ordinary skill in the art to use Scheuneman in Chamber for including the stack buffer for storing the data of the auxiliary register or the register bank as claimed because the use of Scheuneman could provide Chamber the ability to store the data of a predetermined register, such as register bank or auxiliary register, or the like, therefore, increasing the storage capacity of Chamber, and Chamber did taught a stack (306) , although the stack stored data of the auxiliary registry or the register bank was not shown, one of ordinary skill in the art should be able to recognize the stack of Scheuneman with the read/write tags could be used for Chamber's stack for storing data from the auxiliary register and register bank, and Chamber taught the connection of his stack (306) with the auxiliary register valid bit register (310) connected to at least one of the control unit and the register bank (308), which was a suggestion of the need

Art Unit: 2183

for including the stack buffer for storing the data of the auxiliary register or the register bank for read and write purpose, and for doing so, provided a motivation.

6. Chamber did not specifically show his auxiliary register contained a value different from a logical "0". as claimed. However, Fitch taught a logical 1 for a valid determination (see col.15, lines 40-45, see the logical "1" as valid signal). It would have been obvious to one of ordinary skill in the art to use Fitch in Chambers for including a value different from logical "0.. (i.e. logical "1") as claimed because the use of Fitch could provide the capability of Chamber to determine the validity of his register content based on the logic comparison, thereby minimizing the use of extra hardware overheads based on a single logical result, such as ("1" or "0"), and because although Chamber did not show how the logical determination was made, one of ordinary skill in the art should be able to recognize Fitch's logical 1, Fitch was different from logical "0" could be applicable for determine the valid bit of the register in order to provide logical comparison in Chamber. The examiner holds that the use of logical states, such as "0" or "1" should be well within the skill of ordinary in the art and applicable in many applications. Fitch is used to show the logical value could be used for a valid determination, and since Chamber already taught the valid determination, and since no specific application has been reflected into the claim, it provided a suggestion to combined in order to provide the single comparison logical result, and in doing so, provided a motivation.

7. As to the feature of "as a function of the associative bits" (see claim 1, line 4 from the bottom), upon further review, examiner found that Chamber already taught a valid determination (see valid bit register, see each of the bits belong associated with one of said registers of the register bank in register bank 308). Therefore, the validity itself was a function of each bit. No specific type of function has been reflected into the claim, therefore, Chamber's validity determination could be applicable as a function of the associated bit in general. It is suggested that recitation of a specific type or the function into the claim would help to distinguish over the prior art.

8. As to claim 2, Chamber also included further registers (see the greater and lesser number of registers in col.5, lines 40-47).

9. Claims 7, 8 are rejected under 35 U.S.C. 103(a) as being unpatentable over Chamber (6,047,365) in view of Scheuneman (4,757,440) In view of Fitch et al. (5,056,060) as applied to claims 1, 12 and further in view of Arnold et al. (4,558,176).

10. As to claims 7,8, neither Chamber, Scheuneman, nor Fitch specifically showed the accessibilities of the stack as claimed. However, Arnold disclosed a control stack inaccessible by external modifications (col.13, lines 28-44). It would have been obvious to one of ordinary skill in the art to use Arnold in Chamber for including the control of the access to the stack as claimed because the use of Arnold could provide Chamber the ability to protect the content of his stack at a predefined condition (e.g. access permissions), and because Chamber taught a read only memory (see col.1, lines 16-32), which was not write accessible, and one of ordinary skill in the art should

able to recognize the applicability of the system permission of either read, write or both in a memory in order to protect the content of the register bank for a particular application , and for above reasons , provided a motivation.

11. Claim 13 is rejected under 35 U.S.C. 103(a) as being unpatentable over Chamber (6,047,365) in view of (4,757,440) in view of Fitch et al. (5,056,060) as applied to claim 12 and further in view of Wright ad al. (4,802,218).

12. As to claims 13, neither Chamber , Scheuneman , nor Fitch specifically show the permission of the data in the register bank as claimed. However, Wright disclosed a system for permitting readings of a memory section (see the locked memory section in Col.16, lines 60-65). It would have been obvious to one of ordinary a skill in the art to use Wright in Chamber for including the reading permission as clamed because Chamber taught a read only memory (see col.1, lines 16-32), which was not write accessible, and one of ordinary skill in the art should be able to recognize the applicability of either read, write or both permissions in a memory in order to lock the data of the register bank for a specific application , and for above reasons , provided a motivation.

Upon further review and consideration :

13. Claim 3 is objected to as being dependent upon a rejected base claim, but would be allowable if rewritten in independent form including all of the limitations of the base

Art Unit: 2183

claim and any intervening claims. None of the prior art of record further teach each of the further registers stores a single bit.

14. Claims 4,5 are objected to as being dependent upon a rejected base claim, but would be allowable if rewritten in independent form including all of the limitations of the base claim and any intervening claims. None of the prior art of record further teaches the auxiliary register has only one further register for storing a bit sequence corresponding to a number of the registers of the register bank.

15. Claims 6 are objected to as being dependent upon a rejected base claim, but would be allowable if rewritten in independent form including all of the limitations of the base claim and any intervening claims. None of the prior art of record further teaches The second stack for storing at least some data in said register bank, the second stack being accessible only to an operating system of the control unit.

16. Claims 9,10 ,11 are objected to as being dependent upon a rejected base claim, but would be allowable if rewritten in independent form including all of the limitations of the base claim and any intervening claims. None of the prior art of record further teaches the register bank has first and a second areas with first and second registers, and storing data of a called function, the second registers storing data of both & called function and data of a calling function, at least the first registers are associated with a bit in the auxiliary register.

Art Unit: 2183

17. Claim 14, 15, 21 are objected to as being dependent upon a rejected base claim, but would be allowable if rewritten in independent form including all of the limitations of the base claim and any intervening claims. None of the prior art of record further teaches when a datum from one of the registers of the register bank whose associated bit of the auxiliary register has the logical 0 value is read, returning the datum "0".

18. Claims 16, 17 are objected to as being dependent upon a rejected base claim, but would be allowable if rewritten in independent form including all of the limitations of the base claim and any intervening claims. Upon further review, none of the prior art of record further teaches the combined features of the circuit with a second stack for storing at least some data in the register bank and making the second stack inaccessible by a programmer and if the circuit changes from a first function to a second function, successively storing the data associated with the first function in the registers of the register bank and the bit sequence of the auxiliary register in one of the stack and the second stack.

19. Claims 18, 19 are objected to as being dependent upon a rejected base claim, but would be allowable if rewritten in independent form including all of the limitations of the base claim and any intervening claims. None of the prior art of record further teaches a register of the register bank is only stored on the stack if the associated bit of the auxiliary register has a value different from the logical "0"

20. Claim 20 is objected to as being dependent upon a rejected base claim, but would be allowable if rewritten in independent form including all of the limitations of the

Art Unit: 2183

base claim and any intervening claims. None of the prior art of record further teaches a register of the register bank is only stored on the stack if the associated bit of the auxiliary register has a value different from the logical 0.

21. The prior art made of record and not relied upon is considered pertinent to applicant's disclosure.

a) Giraud (4,224,666) is cited for the teaching of the respective registers of the function of associated bits (see col.9, lines 1-51).

b) Benhammou et al. (6,094,724) is cited for the associated bit logical levels (col.6, lines 8-60).

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Dan Pan whose telephone number is 571 272 4172. The examiner can normally be reached on M-F from 8:30 AM to 4:00 PM.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Chan, can be reached on 571 272 4162. The fax phone number for the organization where this application or proceeding is assigned is 703 306 5404.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should

Application/Control Number: 10/622,981

Page 10

Art Unit: 2183

you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

21 Century Strategic Plan

DANIEL H. PAN
PRIMARY EXAMINER
GROUP